Complaint Handling and Grievance Redressal by Kotak Alternate Asset Managers Limited (FME)
Status of Investor Compliants for the quarter ending September 2026

6.2: Number of Complaints Handled by the CRO of the FME		
S. No.	**Particulars**	**Details**
1	Number of complaints outstanding at the beginning of the quarter	0
2	Number of complaints received during the quarter	0
3	Number of complaints disposed off during the quarter, out of which:	
3a	Number of complaints resolved	0
3b	Number of complaints rejected	0
4	Number of complaints withdrawn during the quarter	0
5	Number of complaints pending as on end of quarter	0
6	Number of complaints resolved/ disposed off within 30 days of acceptance of complaint	0
7	Number of complaints resolved/ disposed off after 30 days of acceptance of complaint	0
8	Provide reasons/ comments for complaints disposed off after 30 days of acceptance of complaint	0

6.3: Number of Appeals Handled by the CRAO of the FME		
S. No.	**Particulars**	**Details**
1	Number of appeals outstanding at the beginning of the quarter	0
2	Number of appeals received during the quarter	0
3	Number of appeals disposed off, during the quarter out of which:	
3a	Number of appeals resolved	0
3b	Number of appeals rejected	0
4	Number of appeals withdrawn during the quarter	0
5	Number of appeals pending as on end of quarter	0
6	Number of appeals resolved/ disposed off within 30 days	0
7	Number of appeals resolved/ disposed off after 30 days	0
8	Provide reasons/ comments for appeals disposed off after 30 days	0

Note:

1 The responses in tables 6.1, 6.2 and 6.3 are to be provided in accordance with the IFSCA Circular dated December 02, 2024 on the subject "Complaint Handling and Grievance Redressal by Regulated Entities in the IFSC", as may be amended from time to time.